

4034-32 (4034-32)
Serial No.: 09/712,358
Filed: November 14, 2000

each of the features of claims 26-30 appear in independent claim 31, for which arguments are provided at page 10, second paragraph, of the September 2004 amendment.

It is believed that each of the newly added claims 26-30 include at least one feature that further distinguishes the invention from the references cited by the Examiner. To the Extent the Examiner deems it is necessary to provide an argument for each of the dependent claim, regardless of whether these arguments are provided with respect to the independent claims of the application, the Applicants provide the following arguments:

Claim 26 further features an application menu that includes a management application selection that provides financial advisors with a means for reporting problems and tracking problem tickets, which is not disclosed or suggested by any of the references cited by the Examiner

Claim 27 further features a market data function menu that includes a research selection that when selected provides financial advisors with an interface for researching securities by at least one of industry, price, P/E ratio, growth rate, and rating, which is not disclosed or suggested by any of the references cited by the Examiner.

Claim 28 further features an application menu that includes a financial planning selection that when selected displays an investor's then current asset allocation and suggests an alternative allocation based on an investor's risk tolerance, which is not disclosed or suggested by any of the references cited by the Examiner.

Claim 29 further features an application menu that includes an application menu that includes a financial planning selection that when selected displays an investor account's gains and analyzes progress toward an investment goal using at least one growth rate assumption, which is not disclosed or suggested by any of the references cited by the Examiner.


Claim 30 further features an a market data function menu that includes a historical chart selection for accessing historical information for at least one security based on at least one of specified range of dates, the historical information accessible comprising studies, charts, and historical quotes, which is not disclosed or suggested by any of the references cited by the Examiner.

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Applicants believe that they have fully responded to the My 4th 2004 Office Action with the September 2004 Amendment and Response, as well as with the present Response. Accordingly, reconsideration and withdrawal of the objections to the September 2004 amendment, as well as allowance of pending claims 1-25 and newly added claims 26-31 is, therefore, respectfully solicited. To expedite the prosecution, the Examiner is invited to contact the Applicant's undersigned representative at 212-895-2905.

Respectfully submitted,

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Antonio Papageorgiou

December 6, 2004
Date